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PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Case No. 3854  
(MBHB Ref. No. 03-1106)

In re the Application of: )  
Friesen et al. ) Group Art Unit: 3624  
Serial No. 09/289,550 ) Examiner: Kyle  
Filed: April 9, 1999 )  
For: User Interface for an Electronic Trading )  
System )

TRANSMITTAL LETTER

Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
OCT 16 2007  
**of Correction**

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Request for Certificate of Correction Pursuant to 37 C.F.R. § 1.322;
- b. Certificate of Correction;
- c. Return Receipt Postcard.

2. With respect to additional fees:

- No fee is due at this time.  
 Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

McDonnell Boehnen Hulbert & Berghoff LLP

Date: October 5, 2007  
By:   
Joseph A. Herndon  
Reg. No. 50,469

McDonnell Boehnen Hulbert & Berghoff LLP  
300 South Wacker Drive  
Chicago, Illinois 60606  
Telephone: (312) 913-0001  
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System )

**REQUEST FOR CERTIFICATE OF CORRECTION**  
**PURSUANT TO 37 C.F.R. § 1.322**

Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Assignee of Record, Trading Technologies International, Inc., requests issuance of the accompanying Certificate of Correction pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322(a). The corrections are as follows:

Column 1, line 22, replace "before-the rest" with "before the rest"

Column 7, line 28, replace "bide and offer" with "bids and offers"

Column 11, line 21, replace "onto the existing ordet" with "onto the existing order"

The Assignee respectfully submits that the requested corrections do not constitute new matter, nor do they require substantive examination of the file. The Assignee also respectfully submits that the above-mentioned errors were mistakes of the Patent and Trademark Office and,

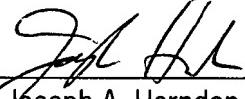
thus, the Assignee believes that no fee is due. If the Examiner believes otherwise, the Assignee authorizes the Commissioner to deduct any fee from the Deposit Account No. 13-2490 pursuant to 37 C.F.R. §§ 1.20(a) and 1.323.

Enclosed is a complete Certificate of Correction for U.S. Patent No. 7,212,999 B2 issued May 1, 2007.

Consideration of this Request and issuance of the Certificate of Correction are respectfully requested.

Respectfully submitted,

Dated: October 5, 2007

By   
Joseph A. Herndon  
Reg. No. 50,469

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,212,999 B2

APPLICATION NO.: 09/289,550

ISSUE DATE: : May 1, 2007

INVENTOR(S) : Friesen et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1, line 22, replace "before-the rest" with "before the rest"

Column 7, line 28, replace "bide and offer" with "bids and offers"

Column 11, line 21, replace "onto the existing ordet" with "onto the existing order"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

McDonnell Boehnen Hulbert & Berghoff LLP  
300 South Wacker Drive  
Chicago, IL 60606

OCT 16 2007

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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